

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 088159.00004
INTERNATIONAL APPLICATION NO. PCT/US2004/036861		U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/578209
INTERNATIONAL FILING DATE 04 November 2004		PRIORITY DATE CLAIMED 05 November 2003
TITLE OF INVENTION PORTABLE WATER DRINKING TROUGH FOR PETS		
APPLICANT(S) FOR DO/EO/US Prodromos Pericles STEPHANOS		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). and Power of Attorney 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 		
<p>Items 11 to 20 below concern document(s) or information included:</p> <ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter. with Declaration 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 		

AP20 Rec'd PCT/PTO 04 MAY 2006

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/578209	INTERNATIONAL APPLICATION NO. PCT/US2004/036861	ATTORNEY'S DOCKET NUMBER 088159.00004
20. Other items or information:		
The following fees have been submitted		CALCULATIONS PTO USE ONLY
21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... \$300		\$ 300.00
22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 All other situations.....\$200		\$ 200.00
23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....\$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB.....\$400 All other situations.....\$500		\$ 500.00
TOTAL OF 21, 22 and 23 =		\$1,000.00
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.		
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)
- 100 =	/50 =	x \$250
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).		\$
CLAIMS	NUMBER FILED	NUMBER EXTRA
Total claims	22 - 20 =	2
Independent claims	2 - 3 =	0
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+ \$360
TOTAL OF ABOVE CALCULATIONS =		\$1,100.00
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.		550.00
SUBTOTAL =		\$ 550.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).		\$
TOTAL NATIONAL FEE =		\$ 550.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		\$
TOTAL FEES ENCLOSED =		\$
		Amount to be refunded: \$
		Amount to be charged \$ 550.00

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- 1AP20 REC'D PCT PTO 04 MAY 2006
- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 502481 in the amount of \$ 550.00 to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 502481. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Richard E. Lyon, Jr.
HOLLAND & KNIGHT LLP
633 West Fifth Street, 21st Floor
Los Angeles, California 90071-2040
Telephone: (213) 896-2510



SIGNATURE

Richard E. Lyon, Jr.

NAME

26,300

REGISTRATION NUMBER

10/578209
1420 REC'D PCT/PTO 04 MAY 2006

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10

I hereby certify that, on the date shown below, this paper (along with any referred to as being attached or enclosed) is being:

MAILING

☒ deposited with the United States Postal Service in an envelope addressed to the Commissioner of Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450

37 C.F.R. § 1.8(a)

37 C.F.R. § 1.10

☐ with sufficient postage as first class mail.

☒ as "Express Mail Post Office to Addressee" Mailing

Label No. ER 439601885 US (mandatory)

TRANSMISSION

☐ transmitted by facsimile to the Patent and Trademark Office.

Date: May 4, 2006

Cheryl A. Boberg
Signature

Cheryl A. Boberg

(type or print name of person certifying)

10/578209

Rev. 07-2004)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. PATENT AND TRADEMARK OFFICE
DEPARTMENT OF COMMERCE
MAIL STOP PCT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450
U.S. PATENT AND TRADEMARK OFFICE
DEPARTMENT OF COMMERCE
MAIL STOP PCT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450

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TRANSMITTAL LETTER TO THE UNITED STATES RECEIVING OFFICE

Express Mail mailing number: ER 440715973 US

Date of deposit: 22 December 04 (22.12.04)

File reference no.: 088159.4P/REL

International application no. (if known): PCT/US04/036861

Title of the invention: PORTABLE WATER DRINKING TROUGH FOR PETS

Earliest priority date claimed (Day/Month/Year): 05 November 2003 (05.11.03)

☐ This is a new International Application**SCREENING DISCLOSURE INFORMATION:**

In order to assist in screening the accompanying international application for purposes of determining whether a license for foreign transmittal should and could be granted and for other purposes, the following information is supplied. (check as many boxes as apply):

- ☐ The invention disclosed was **not** made in the United States of America.
- ☐ There is no prior U.S. application relating to this invention.
- ☐ The following prior U.S. application(s) contain subject matter which is related to the invention disclosed in the attached international application. (NOTE: priority to these applications may or may not be claimed on the Request (form PCT/RO/101) and this listing does **not** constitute a claim for priority.)

application no.		filed on	
application no.		filed on	

- ☐ The present international application contains additional subject matter not found in the prior U.S. application(s) identified above. The additional subject matter is found on pages _____ and ☐ DOES NOT ALTER ☐ MIGHT BE CONSIDERED TO ALTER the general nature of the invention in a manner which would require the U.S. application to have been made available for inspection by the appropriate defense agencies under 35 U.S.C. 181 and 37 C.F.R. 5.15.

Itemized list of contents

Sheets of Request form:	Check no.:
Sheets of description (excluding sequence listing):	Return receipt postcard: 1
Sheets of claims:	Power of attorney: 1
Sheets of abstract:	Certified copy of priority document (specify):
Sheets of drawings:	Other (specify): Executed Declaration of Inventorship
Sheets of sequence listing:	
Sequence listing diskette/CD:	
Tables related to sequence listing CD:	

The person signing this form is:

- ☐ Applicant
- ☒ Attorney/(Reg. No. 26,300)
- ☐ Common Representative

Name of person signing

LYON, Richard E., Jr.

Signature

This collection of information is required by 37 CFR 1.10 and 1.412. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PATENT COOPERATION TREATY

Int'l Appln. No.: PCT/US04/036861 Int'l Filing Date: 4 November 2004
Applicant: STEPHANOS, Prodromos Pericles
Title: *Portable Water Drinking Trough for Pets*
Docket No.: 088159.4/REL
Date: 22 December 2004

SUBMISSION OF APPLICANT'S EXECUTED DECLARATION AND POWER OF ATTORNEY FORMS

(PCT Rules 4.17(iv) and 51 bis.1(a)(iv) and 90.4)

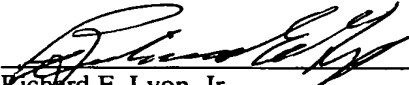
Mail Stop PCT
Commissioner for Patents
Attention: Jon Kurtz, Authorized Officer
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir/Madam:

Applicant herewith submits his executed Power of Attorney (Form PCT/Model of power of attorney) and Declaration of Inventorship for the purposes of the designation of the United States of America (Form PCT/RO/101 declaration sheet) dated December 1, 2004.

Applicant requests the United States Patent Office to prepare and transmit to the International Bureau, and to this office, a Notification Concerning Receipt of Executed Application Papers.

Respectfully submitted,


Richard E. Lyon, Jr.
Authorized Agent; Reg. No. 26,300
(213) 896-2400


REL/jhg

Enclosures

HOLLAND & KNIGHT LLP
633 West Fifth Street, 21st Floor
Los Angeles, CA 90071-2040 USA
Telephone: (213) 896-2400 Fax: (213) 896-2450

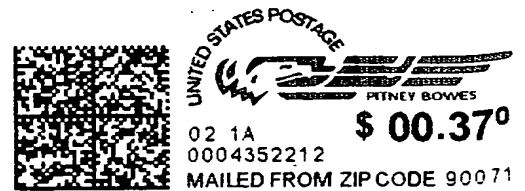
Certificate of Mailing (Under 37 CFR 1.10)

I hereby certify that on **December 22, 2004** this document and all listed attachments are being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Address" mailing Label Number ER440715973US.


NANCY NOLEN

Serial No. _____ Attorney REL Mailing Date 4 NOV 2004
Client: O. ROURKE Our Ref. No. 088159.4/REL
Title/Mark: PORTABLE WATER DRINKING TROUGH FOR PETS
Applicant(s): STEPHANOS PRODRONOS PENCUS
The following, due 5 NOV 2004 in the U.S. Patent & Trademark Office, was received in
The U.S. Patent & Trademark Office Mail Room on the date stamped hereon:

<input checked="" type="checkbox"/>	Patent Application, Including:	_____	Amendment/Response
<input checked="" type="checkbox"/>	Pages of Specification, including:	_____	Amendment After Final Rejection
<input checked="" type="checkbox"/>	Claims and Abstract	_____	Preliminary Amendment
<input checked="" type="checkbox"/>	Drawings <u>12</u> Sheet(s)	_____	Notice of Appeal
<input checked="" type="checkbox"/>	Combined Decl./Power of Atty	_____	Appeal Brief
<input checked="" type="checkbox"/>	Transmittal Letter	_____	Issue Fee Transmittal
_____	Power of Attorney by Assignee	_____	Maintenance Fee Transmittal
_____	Assignment with Form PTO 1595	_____	Trademark Appln. w. Decl.-Power
_____	Small Entity Status Form	_____	Specimens
_____	Priority Document(s)	_____	Trademark Statement of Use
_____	Petition for Extension of Time	_____	TM Renewal Appln. w. Fee
_____	IDS w. Form PTO 1449 w.	_____	Check No. _____ for \$ _____
_____	_____ cited refs.	_____	
_____		<input checked="" type="checkbox"/>	Fee Calculation Sheet
_____		<input checked="" type="checkbox"/>	PCT REQUEST
_____	CERTIFICATE OF MAILING/EXPRESS MAIL NO.	_____	<u>EK91866913545</u>



HOLLAND & KNIGHT LLP
633 West Fifth Street, 21st Floor
Los Angeles, CA 90071-2040

REL

10/578209

PTO-1382 (Rev. 07-2004)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES RECEIVED 04 MAY 2006

Express Mail mailing number: EK918669135US	Date of deposit: 4 November 2004 (04.11.04)
File reference no.: 088159.4/REL	International application no. (if known):
Title of the invention: PORTABLE WATER DRINKING TROUGH FOR PETS	
Earliest priority date claimed (Day/Month/Year): 5 November 2003 (05.11.03)	

☒ This is a new International Application

SCREENING DISCLOSURE INFORMATION:

In order to assist in screening the accompanying international application for purposes of determining whether a license for foreign transmittal should and could be granted and for other purposes, the following information is supplied. (check as many boxes as apply):

- ☐ The invention disclosed was not made in the United States of America.
- ☐ There is no prior U.S. application relating to this invention.
- ☒ The following prior U.S. application(s) contain subject matter which is related to the invention disclosed in the attached international application. (NOTE: priority to these applications may or may not be claimed on the Request (form PCT/RO/101) and this listing does not constitute a claim for priority.)

application no.	60/517,529	filed on	5 November 2003 (05.11.03)
application no.		filed on	

- ☒ The present international application contains additional subject matter not found in the prior U.S. application(s) identified above. The additional subject matter is found on pages throughout and ☒ DOES NOT ALTER ☐ MIGHT BE CONSIDERED TO ALTER the general nature of the invention in a manner which would require the U.S. application to have been made available for inspection by the appropriate defense agencies under 35 U.S.C. 181 and 37 C.F.R. 5.15.

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Sheets of Request form:	5	Check no.:	
Sheets of description (excluding sequence listing):	12	Return receipt postcard:	1
Sheets of claims:	5	Power of attorney:	1 (unex)
Sheets of abstract:	1	Certified copy of priority document (specify):	
Sheets of drawings:	12	Other (specify): Fee Calculation Sheet	
Sheets of sequence listing:			
Sequence listing diskette/CD:			
Tables related to sequence listing CD:			

The person signing this form is:

- ☐ Applicant
- ☒ Attorney/Agent (Reg. No.)
26,300
- ☐ Common Representative

Name of person signing LYON, Richard E., Jr.

Signature

This collection of information is required by 37 CFR 1.10 and 1.412. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.